

**AUDIT COMMISSION REPORTS ISSUED 2006/2007**

**Appendix 1**

**Wirral Council**

Ref	Date of Final Issue	Report Title	Recs		Action Plan		Reported to Committee	Comments
			No	High	Attached	Complete		
1.	May 2006	Probity in Partnerships	9	6	Yes	Yes	Executive Board 4/10/06	Reports to Executive Board 2/11/06, 8/2/07 and Audit and Risk Management 28/9/06. Regular progress reports have been submitted to Members.
2.	May 2006	Prudential Code	7	-	Yes	Yes	Audit and Risk Management 29/6/06	A verbal assurance was provided that all recommendations have now been implemented.
3.	May 2006	BVPI Summary Report	-	-	No	-	-	Not reported to Committee separately, content is included in the Annual Audit and Inspection Letter.
4.	September 2006	1Business Management Arrangements	8	6	Yes	Yes	Executive Board 4/10/06	A report updating progress was presented to Audit and Risk Management Committee 29/3/07. Regular progress reports have been submitted to Members.
5.	September 2006	Annual Governance Report	2	2	Yes	Yes	Executive Board 4/10/06	Regular progress reports have been submitted to Members.
6.	October 2006	Corporate and Service Planning – Interim Position Statement	-	-	No	N/A	-	Report comments on implementation of recommendations arising from the CPA Corporate Assessment 2005, and states that positive progress is being made. A part 2 update report produced November 2007.

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7.	December 2006	Working in Partnership – Health Improvement	6	5	Yes	Yes	Social Care, Health and Inclusion Overview and Scrutiny Committee 6/3/07	Joint report of Director of Adult Social Services and Chief Executive of Wirral PCT.
8.	December 2006	Issues Arising from the Opinion Audit	24	5	Yes	N/A	-	Not reported to Committee separately, content is included within the Annual Governance Report.
9.	December 2006	Use of Resources Report 2006	-	-	Yes	Yes	Cabinet 24/1/07	An Action Plan has been produced so as to develop areas for improvement.
10.	January 2007	Social Services Budgetary Control	11	9	Yes	Yes	Cabinet 24/5/07	All recommendations have been implemented.
11.	March 2007	Housing Market Renewal	13	7	Yes	Yes	Housing and Community Safety 28/11/07	A verbal assurance was provided that all recommendations have been implemented.
12.	March 2007	Annual Audit and Inspection Letter	-	-	No	-	Cabinet 24/5/07	Report is for information.
13.	May 2007	Audit and Inspection Plan	-	-	No	-	Cabinet 24/5/07	Sets out Audit Commission planned work for 2006/07. There are no recommendations – report is to inform Members.
14.	May 2007	Review of Internal Audit	12	5	Yes	Yes	Audit and Risk Management 28/6/07	All recommendations have been implemented.
15.	May 2007	Strategic Approach to Housing	6		Yes	Yes	Housing and Community Safety Overview and Scrutiny Committee 11/6/07	A verbal assurance was provided that all recommendations have been implemented.
16.	May 2007	Grant Claims and Returns	5	0	Yes	Yes	Audit and Risk Management 28/6/07	A verbal assurance was provided that all the recommendations have been implemented with the exception of recommendation R4 which is an ongoing continuous process.

## Merseyside Pension Fund

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1.	September 2006	Audit Opinion	-	-	N/A	N/A	Pensions Committee 25/9/06	Report is for information.
2.	January 2007	Annual Report	-	-	N/A	N/A	Pensions Committee 29/1/07	Report is for information.
3.	May 2007	Final Accounts Memorandum	-	-	N/A	N/A	No	Report to Officers only, content included in reports above.

## AUDIT COMMISSION REPORTS ISSUED 2007/2008

### Wirral Council

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			No	High	Attached	Complete		
1.	July 2007	Review of Electrical Maintenance Contract Arrangements	6	2	Yes	Yes	Audit and Risk Management 27/09/2007	Verbal assurance provided that recommendations R1, R2, R3, R5 and R6 have been implemented and are a continuous process. R4 is not to be implemented.
2.	September 2007	Annual Governance Report	3	2	Yes	Yes	Cabinet 18/10/07	Progress reports submitted to Members.
3.	November 2007	BVPP	-	-	N/A	N/A	No	Statutory report for officers. There were no matters to report and no recommendations arising.
4.	November 2007	Final Accounts Memorandum	11	6	Yes	Yes	Audit and Risk Management 06/12/07	All recommendations have been implemented with the exception of R11 which is an ongoing continuous process.
5.	November 2007	Update -Corporate and Service Planning	2	2	Yes	Yes	-	Both recommendations have been implemented. Recommendation (R1) reported to Cabinet 13/03/08.
6.	December 2007	Use of Resources Auditor Judgements	-	-	Yes	Yes	Finance and Best Value 19/03/08	Report provides details of the Audit Commission 2007 CPA Use of Resources judgement for Wirral. Also explains changes to 2008 assessment criteria and details actions and milestones necessary to achieve further improvement.
7.	January 2008	Merseytram	2	-			No	Recommendations made were for suggestions for any future projects and therefore no action required.

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8.	January 2008	Local Area Agreement	4	0	Yes	Yes	Audit and Risk Management 07/04/08	A verbal assurance was provided that all recommendations have been implemented.
9.	January 2008	Benefits Realisation and Change Management	5	4	Yes	Yes	Cabinet 07/02/08	All recommendations have been implemented.
10.	March 2008	Annual Audit and Inspection Letter	-	-	No	-	Audit and Risk Management Committee 07/04/08	This report provides an overall summary of the Audit Commission's assessment of the Council. Report is for information.

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1.	May 2007	Audit Plan 2007/08	-	-	N/A	N/A	Pensions Committee 02/07/07	Sets out Audit Commission planned work for 2007/08. There are no recommendations, report is to inform Members.
2.	September 2007	Audit Opinion for MPF – Financial Statements.	-	-	N/A	N/A	Pensions Committee 25/09/07	Report is for information.
3.	November 2007	Final Accounts Memorandum	2	1	Yes	Yes	Pensions Committee 26/11/07	Recommendations have been implemented.

## AUDIT COMMISSION REPORTS ISSUED 2008/2009

### Wirral Council

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			No	High	Attached	Complete		
1.	May 2008	Grant Claims and Returns	6	2	Yes	Yes	30 September 2008 Audit and Risk Management Committee	All recommendations have been implemented.
2.	June 2008	Audit and Inspection Plan	-	-	N/A	N/A	30 June 2008 Audit and Risk Management Committee	
3.	July 2008	Democratic Arrangements	5	1	Yes	Yes	30 September 2008 Audit and Risk Management Committee	Progress report issued to Audit and Risk Management Committee 26 January 2009, addressing action to implement recommendations.
4.	August 2008	Adult Social Services – Follow Up of PIDA Disclosure	-	-	N/A	N/A	30 September 2008 Audit and Risk Management Committee	Five recommendations made. Ongoing investigation.
5.	August 2008	Waste Management – Mersey Waste Authorities	-	-	N/A	N/A	No	Report relates to Merseyside Waste Disposal Authority, therefore the report and ongoing implementation of required actions have been addressed through this mechanism via the Senior Officers' Working Group.
6.	August 2008	Data Quality (Part of 07/08 plan)	8	5	Yes	Yes	Action Plan reported to Audit & Risk Management Committee 26 January 2009.	Recommendations implemented/In the process of being implemented.

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7.	September 2008	Annual Governance Report	13	-	Yes	No	30 September 2008 Audit and Risk Management Committee	Includes value for money conclusion and opinion on financial statements. Regular progress update reports have been submitted to Members.
8.	October 2008	Health Inequalities	2	-	-	Yes	23 July 2009 Cabinet	Recommendations are being monitored by the Local Strategic Partnership, Action plan reported to Cabinet on the 23 July 2009 and has been reported to the Local Strategic Partnership Executive Board.
9.	December 2008	Use of Resources Project Brief	-	-	N/A	N/A	15 January 2009 Cabinet	
10.	January 2009	Access to Services	3	-	-	-	05 February 2009 Cabinet	The method of implementation of recommendations is currently being considered.
11.	January 2009	Use of Resources	-	-	Yes	Yes	05 February 2009 Cabinet	
12.	January 2009	Data Quality	11	3	Yes	Yes	26 January 2009 Audit and Risk Management Committee	Incorporated recommendation made in 06/07 & 07/08. Recommendations implemented/In the process of being implemented.
13.	March 2009	Annual Audit and Inspection Letter	-	-	-	-	23 April 2009 Cabinet	
14.	June 2009	Ethical Governance	7	3	Yes	Yes	29 June 2009 Audit and Risk Management Committee	Recommendations timescales not yet due for implementation.
15.	June 2009	Governance of Partnerships	8	3	Yes	Yes	29 June 2009 Audit and Risk Management Committee	Recommendations timescales not yet due for implementation with the exception of recommendation R6 which has been implemented.



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1.	June 2008	Audit Plan	-	-	N/A	N/A	24 June 2008 Pensions Committee	
2.	September 2008	Annual Governance Report – MPF	-	-	-	-	29 September 2008 Pensions Committee	