

**Audit and Risk Management Committee**  
**Monday, 18<sup>th</sup> November 2019**

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| <b>REPORT TITLE:</b> | <b>Procurement – Use of Procedure Rules Approval Document Permitted Under The Council Contract Procedure Rules, and NOPONOPAY Progress</b> |
| <b>REPORT OF:</b>    | <b>Head of Commercial Procurement</b>  |

**REPORT SUMMARY**

This report informs the Committee of the range and number of contracts awarded which have been subject to Contracts Procedure Rules (CPR's) Rule 12 - Waiving the Rules and Rule 13 – Extension/Variation

The Appendix details the contracts subject to the Extension/Variation or Waiver rules for the period 1<sup>st</sup> April 2019 to 30<sup>th</sup> September 2019, which under Contracts Procedure Rule 17 requires that all contracts which meet the following criteria are reported to Audit and Risk Management Committee on a six monthly basis

- a) Contract is awarded, the total value of which exceeds £500k
- b) Variation or extension to a contract approved by The Director of Finance and Investment
- c) Waiver of the Contract Procedure Rules in relation to a contract which exceeds £50k in value

The report also includes a summary of the NOPONOPAY policy progress as requested at the previous meeting

**RECOMMENDATION/S**

That the report is noted

## **SUPPORTING INFORMATION**

### **1.0 REASON/S FOR RECOMMENDATION/S**

- 1.1 To provide the committee with assurance that the council is taking appropriate measures to comply with Contracts Procedure Rules

### **2.0 OTHER OPTIONS CONSIDERED**

- 2.1 The Council's Contract Procedure Rules require this report to be presented to this Committee. The frequency of the reporting covers the previous six month period as agreed by this Committee on the 21st November 2017

### **3.0 BACKGROUND INFORMATION**

- 3.1 Revised Contract Procedure Rules, approved by this Committee, came into effect on the 1<sup>st</sup> April 2016
- 3.2 The rules place the responsibility for the approval of contracts on officers of the Council
- 3.3 Each contract is posted on the Council's Contracts Register, The Chest, which is the regional eContracting Portal for the North West local authorities
- 3.4 Within the revised Rules, four distinct Procedure Rules Approval Documents of approval are:

Exceptions  
Extensions  
Variations  
Awards

These form the basis of all reports to this Committee in accordance with Rule 17

- 3.5 The revised rules include the adoption of the Public Procurement Regulations 2015, the Transparency Code 2015 and the requirement to publish all contracts above £5k in value
- 3.6 There have been 32 Exceptions approved for the period. Requests to waive the CPR's for under EU threshold contracts (or where the thresholds do not apply) have been authorised only in the following circumstances, supported in all cases by the appropriate evidence;
- a) Goods, services or the execution of works are obtainable from one source or contractor and there is no reasonably satisfactory alternative.
  - b) Compatibility issues such that procurement from another source would be uneconomic given the investment in the previous infrastructure
  - c) A waiver of the rules would be in the interests of the Council, be lawful, and provide value for money

- d) Where there is a legal requirement to deal with a particular supplier
- e) Contracts awarded to suppliers because of exclusive rights

3.7 The remainder of the approved documents are detailed as follows

| Description | Number Approved |
|-------------|-----------------|
| Extension   | 8               |
| Variation   | 6               |
| Award       | 12              |

#### 4.0 APPENDICES

4.1 In accordance with the requirements agreed at the previous meeting, the appendices now include the following additions

- Supplier details for each record
- Financial sub totals for estimated annual and total contract expenditure
- Justification narrative for exception requests
- A reference that indicates that the awarded contract is a single event contract or subject to renewal

#### 5.0 NO PO NO PAY

- 5.1 The NO PO NO PAY policy was introduced with effect from the 1<sup>st</sup> April 2019 and this report provides details of progress and the measures being applied to address non- compliance.
- 5.2 The policy does not apply to schools as they do not have access to the Council's procurement ordering system
- 5.3 Transaction payments for suppliers and providers are controlled and managed within the Council's Transaction Centre which also has responsibility for all payments relating to the operation of the Council
- 5.4 The analysis refers to the first 6 months of the application of the policy and reflects the period 1<sup>st</sup> April 2019 to 30<sup>th</sup> September 2019

| Transaction Type                               | Total Volume | Total Value |
|--|--------------|-------------|
| Purchase Order                                 | 7,069        | £34.9m      |
| Retrospective Purchase Order                   | 3,485        | £20.7m      |
| Non Purchase Order                             | 9,271        | £28.9m      |
| External Electronic Files from suppliers       | 6,512        | £2.3m       |
| Internal Electronic Files from digital systems | 22,536       | £63.8m      |
| Total  | 48,873       | £150.6m     |

## 5.5 Action Plan

| Action   | When                  | Status  | Next steps  |
|--|-----------------------|---|---|
| Report to senior Leadership team                                     | September             | Completed   | None  |
| Task group<br>Chaired by Director of Finance and Investments         | September             | In progress   | Next meeting<br>December 2019   |
| Task sub-group chaired by Head of customer services                  | September to December | Analysis and scoping completed  | Remedial actions to be established and applied                                    |
| Training programme to be conducted by Head of Commercial Procurement | November / December   | 14 Training sessions in place for 168 places at Wallasey and Birkenhead | Complete planned training sessions and review for additional sessions if required |
| Remedial Plan to be confirmed by Director of Finance and Investments | December              | In progress   | Remedial Plan to be applied   |
| Ongoing progress monitoring  | January to April 2020 | Planned   | Monthly reviews to apply from 1 <sup>st</sup> February                            |

## 6.0 FINANCIAL IMPLICATIONS

- 6.1 The appendices detail a total of 58 requests approved in compliance with the Council's Contract Procedure Rules against an overall third party spend of in excess of £200m during 2018/19
- 6.2 NO PO NO PAY programme will minimise or eliminate exposure to maverick spend and improve VFM from existing and new contracts.

## 7.0 LEGAL IMPLICATIONS

- 7.1 The Council must comply with it's Contract Procedure Rules and relevant procurement legislation

## 8.0 RESOURCE IMPLICATIONS: STAFFING, ICT AND ASSETS

- 8.1 The revised CPR's resulted in changing workloads in order to improve the efficiency and effectiveness of the Council's commissioning and procurement processes
- 8.2 The request process includes assurances that the financial resources are available to fund the contracts and also achieves value for money prior to any approval being given

## **9.0 RELEVANT RISKS**

9.1 There are none arising from this report

## **10.0 ENGAGEMENT/CONSULTATION**

10.1 There was no specific consultation undertaken in respect of this report

## **11.1 EQUALITY IMPLICATIONS**

11.1 No because there is no relevance to equality.

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**REPORT AUTHOR:**    **Keith Patterson**  
Head of Commercial Procurement  
telephone: (0151) 666 3388  
email: [keithpatterson@wirral.gov.uk](mailto:keithpatterson@wirral.gov.uk)

## **APPENDICES**

Appendix 1

## **BACKGROUND DOCUMENTS**

### **SUBJECT HISTORY (last 3 years)**

| <b>Council Meeting</b>                     | <b>Date</b>       |
|--|-------------------|
| <b>Audit and Risk Management Committee</b> | <b>24/09/2018</b> |
| <b>Audit and Risk management Committee</b> | <b>23/09/2019</b> |

| Record | Date       | Project Title  | Directorate                 | Service Area  | Project Description   | CPR Clause applied  | Justification as to why this CPR clause is selected   | Annual Spend£k | Total contract spend £ | Supplier  | Department al Contact    | Signed by      | Approval By     |
|--------|------------|--|-----------------------------|---|---|---|---|----------------|------------------------|---|--------------------------|----------------|-----------------|
| 1      | 01/04/2019 | Supported Housing Contract - Local Solutions               | Economic and Housing Growth | Supported Housing & Homelessness                              | Supported lodgings for young people at risk/homeless (aged 16-25) placed with householders throughout Wirral. Provides housing and support to enable vulnerable young people to gain the skills needed so they can live independently in the future and to help them to find and then keep their own homes; supports Social Care objective of keeping vulnerable young people healthy and safe.             | C. A waiver of the rules would be in the interest of the Council, be lawful, and provide value for money.                                       | This service is subject of 2 unsuccessful tenders, so is out to soft market testing on CHEST until 12 April. We will then re-tender in a way that will secure greater engagement from suppliers. The service remains strategically important; it currently houses and supports 21 vulnerable young people. This extension is needed to ensure continued service delivery. | £95,187.63     | £95,187.63             | Local Solutions   | Sheila Jacobs            | Lisa Newman    | Keith Patterson |
| 2      | 01/04/2019 | Intelligence & Evidence Services                           | Adult Care and Health       | Champs Public Health Collaborative                            | Provision of academic research and evidence base  | A. Goods, services or execution of works are obtainable only from one source or contractor and there is no reasonably satisfactory alternative. | 12 months provision was agreed from April 2018 on the basis that previous market exercises had yielded no viable bids, the market is unlikely to have changed in the intervening period.  | £80,795.00     | £80,795.00             | Liverpool John Moores University  | Adam Major               | Dawn Leicester | Keith Patterson |
| 3      | 16/04/2019 | Parks and Highway disposal of none contracted waste        | Delivery Services           | Japanese Knotweed Removal at Seacombe Community Centre        | disposal of various parks waste via skips and highway gully waste   | A. Goods, services or execution of works are obtainable only from one source or contractor and there is no reasonably satisfactory alternative. | Veolia hold the waste contract at the Merseyside waste disposal plant   | £90,000.00     | £90,000.00             | Veolia E S  | Colin Clayton/Mark Smith | Colin Clayton  | Keith Patterson |
| 4      | 25/04/2019 | T2A Res EMI beds   | Adult Care and Health       | T2A Res EMI beds  | 5 T2A EMI beds  | A. Goods, services or execution of works are obtainable only from one source or contractor and there is no reasonably satisfactory alternative. | We are asking for an extension of a particular contract whilst a review is being undertaken. There are no other who could deliver the service within the timescale for the review to be completed.  | £75,530.00     | £75,530.00             | Summer Fields Care Home   | Jacqui Evans             | Jacqui Evans   | Keith Patterson |
| 5      | 02/05/2019 | Wirral Local Plan Strategic Housing Market Assessment 2019 | Delivery Services           | Assistant Director Major Growth Projects and Housing Delivery | To provide robust and up-to-date evidence on the potential scale of future housing need and demand in Wirral based upon a range of housing, economic and demographic factors, trends and forecasts. To provide the Council with evidence on the future housing needs, of the authority area to help Wirral Council plan for future growth and make informed policy choices through its emerging Local Plan. | B. Compatibility issues such that Procurement from another source would be uneconomic given the investment in previous infrastructure.          | ARC4 have responded to an urgent requirement identified through Counsel and specialist advice on the work needed to ensure a sound Local Plan. ARC4 meets the Council's requirements and have worked with other North West authorities.   | £120,000.00    | £60,000.00             | ARC4  | Andrew Fraser            | David Ball     | Keith Patterson |
| 6      | 02/05/2019 | Remand Invoices  | Children's Service          | Safer Wirral Services   | Wirral Youth Justice Service have a statutory obligation to pay remand costs for young people (from Wirral) who are detained at a Youth Offending Institution, Secure Training Centre or Secure Children's Home   | D. Where there is a legal requirement to contract with a particular supplier  | The Youth Justice Service have a statutory obligation to pay remand costs for young people who are detained at Youth Offenders  | £60,000.00     | £60,000.00             | Her Majesty's Prison & Probation Service (HMPPS) formerly known as the National Offender Management Service | Kathy Gill               | Mark Camborne  | Donna Long      |

|    |            |  |                             |  |  |   |   |             |             |                              |                |                |                 |
|----|------------|--|-----------------------------|--|--|---|---|-------------|-------------|------------------------------|----------------|----------------|-----------------|
| 7  | 10/05/2019 | Surface dressing preperation 2019  | Delivery Services           | StreetScene                                | Pre-Surface Dressing Programme   | E. Contracts awarded to sole suppliers because of exclusive rights.   | Rhino Asphalt Solutions produce an alternative product in place of conventional Hot Rolled Asphalt minor patching repairs   | £70,000.00  | £70,000.00  | Rhino Asphalt Solutions Ltd  | Phil Miner     | Mark Smith     | Keith Patterson |
| 8  | 10/05/2019 | Illumination of Merseytravel Ventilation Tower                               | Economic and Housing Growth | Culture and Visitor Economy                | Twelve colour reach compact power core light fittings encased in IP-rated housings, specially constructed and installed on each side of the ventilation station illuminating the tower with striking, colourful light.   | A. Goods, services or execution of works are obtainable only from one source or contractor and there is no reasonably satisfactory alternative. | As the building is owned by, operated and maintained by Merseytravel they are the sole organisation that can facilitate this. They have carried out a full procurement process for this and have shown due diligence in the selection of the subcontractor.   | £58,521.00  | £58,521.00  | Mersey Travel                | Tom Smith      | Lucy Barrow    | Keith Patterson |
| 9  | 13/05/2019 | Green Belt Review and Site Selection   | Economic and Housing Growth | Major Growth Projects and Housing Delivery | To undertake a Green Belt Review and subsequent Site Selection Study to provide essential and robust evidence to inform the preparation of the Council's Local Plan  | B. Compatibility issues such that Procurement from another source would be uneconomic given the investment in previous infrastructure           | ARUP have responded to an urgent requirement identified through specialist advice on the work needed to ensure a sound Local Plan. ARUP meets the Council's requirements to ensure the provision of robust evidence, have recognised experience in the review of Green Belts and have worked with other North West authorities  | £140,000.00 | £70,000.00  | ARUP                         | Andrew Fraser  | David Ball     | Keith Patterson |
| 10 | 13/06/2019 | LGA Subscription   | Business Management         | Finance                                    | LGA Membership Subscription  | A. Goods, services or execution of works are obtainable only from one source or contractor and there is no reasonably satisfactory alternative. | LGA Subscription Fees - This is the only supplier that offers this service  | £64,088.18  | £64,088.18  | Local Government Association | Sarah Cox      | Shaer Halewood | Donna Long      |
| 11 | 28/06/2019 | Extra Care Development   | Adult Care and Health       | Health and Care                            | Development of an extra care provision   | A. Goods, services or execution of works are obtainable only from one source or contractor and there is no reasonably satisfactory alternative. | The Grant is only available to the recipient who owns the land and is part of the legal grant agreement. the grant has been signed off by the leader of the Council.  | £131,859.00 | £329,647.50 | Thursby House                | Simon Garner   | Jason Oxley    | Tony Birkett    |
| 12 | 05/07/2019 | Artistic Content: Borough of Culture year                                    | Business Management         | Culture and Visitor Economy                | This Prad 1 is to cover all artistic content contracted during the remainder of the Borough of Culture year. As the artistic content is bespoke to each event and can only be delivered by one supplier in each case, this prad 1 is to serve as a blanket Prad covering multiple performers or artists. | A. Goods, services or execution of works are obtainable only from one source or contractor and there is no reasonably satisfactory alternative. | As the artistic content is bespoke to each event and can only be delivered by one supplier in each case, this Prad 1 is to serve as a blanket Prad covering multiple performers or artists.   | £150,000.00 | £150,000.00 | Multiple                     | Tom Smith      | Lucy Barrow    | Tony Birkett    |
| 13 | 08/07/2019 | Tree works at Fort Halstead (following tree risk assessment survey May 2019) | Business Management         | Pensions                                   | Tree works including required felling across all areas of site, following full Tree Risk Assessment 2019.  | C. A waiver of the rules would be in the interest of the Council, be lawful, and provide value for money.                                       | The trees requiring works/felling are presenting a very high priority H&S risk (i.e. falling and causing injury and/or property damage). There are 35 high risk actions which must be actioned as soon as possible , 82 medium risk actions which must be completed in 6 moths (November 2019) and a further 3 low risk actions to be undertaken in 1 year (May 2020).    | £62,332.07  | £62,332.07  | Gavin Jones Limited          | Susannah Friar | Peter Wallach  | Keith Patterson |
| 14 | 08/07/2019 | Supported Housing Contract   | Economic and Housing Growth | Supported Housing & Homelessness           | Provision of 3 self-contained flats with onsite support delivered 24:7 to 3 young people aged 16-17 years old who are leaving care and owed a statutory duty. The contract was developed in partnership with CYP and is and jointly funded but is held and monitored by Supported Housing.               | C. A waiver of the rules would be in the interest of the Council, be lawful, and provide value for money.                                       | This service is subject of 2 unsuccessful tenders, so is out to soft market testing on CHEST until 12 April. We will then re-tender in a way that will secure greater engagement from suppliers. The service remains strategically important; it currently houses and supports 21 vulnerable young people. This extension is needed to ensure continued service delivery. | £71,042.46  | £71,042.46  | Regenda Homes Limited        | Sheila Jacobs  | Lisa Newman    | Tony Birkett    |

|    |            |   |                             |  |  |   |   |             |             |                             |                |                 |                 |
|----|------------|---|-----------------------------|--|--|---|---|-------------|-------------|-----------------------------|----------------|-----------------|-----------------|
| 15 | 17/07/2019 | Provision of Specialist Planning Advice and Technical Support- Call off Service | Economic and Housing Growth | Major Growth & Housing Delivery            | Provision of call off planning advice and technical support in relation to urgent tasks required to prepare the Local Plan and related statutory documents to be provided by Arup consultants. Max contract value of up to £150,000 subject to issue and approval of specific task briefs.   | C. A waiver of the rules would be in the interest of the Council, be lawful, and provide value for money.                                       | Arup have responded to an urgent requirement to undertake essential call off tasks to prepare the Local Plan to meet the Governments strict deadline. Arup are a recognised leader in this field and are specialist Government advisors. The rates negotiated with Arup for this service are significantly lower than the CCS framework rates. They have confirmed capacity and willingness to deliver.       | £100,000.00 | £150,000.00 | Arup                        | David Ball     | David Ball      | Keith Patterson |
| 16 | 19/07/2019 | Wirral Local Plan Urban Brownfield Site Assessment                              | Economic and Housing Growth | Growth                                     | This commission will involve two related workstreams. The first,an Employment Land Options Study will consider whether identified employment sites should continue to be retained for employment or be available for residential use. The second study will involve the high level assessment of the deliverability and developability of a range of urban brownfield sites, inc stage 1 sites identified. | C. A waiver of the rules would be in the interest of the Council, be lawful, and provide value for money.                                       | Avison Young have responded to an urgent requirement identified through Counsel advice on the work needed to ensure a sound Local Plan. AYs submission meets the Council's requirements. They have current expert relevant knowledge as they are currently working on similar work for the Council. Contract value is a worst case ceiling price, subject to the number of sites to be assessed.              | £112,941.17 | £160,000.00 | Avison Young                | Sally Shah     | Alan Evans      | Donna Long      |
| 17 | 24/07/2019 | Renewal of Beach Cleaning Equipment   | Delivery Services           | AD - Community Services                    | To replace end of life specialist beach cleaning equipment   | A. Goods, services or execution of works are obtainable only from one source or contractor and there is no reasonably satisfactory alternative. | This is a very Specialised piece of equipment and only available from one UK Supplier   | £50,000.00  | £50,000.00  | Overton UK Ltd.             | Bill Hancox    | Colin Clayton   | Tony Birkett    |
| 18 | 25/07/2019 | Street lighting fault repairs contract  | Delivery Services           | Highways and Streetscene Delivery Services | attending to lighting faults and undertaking repairs   | C. A waiver of the rules would be in the interest of the Council, be lawful, and provide value for money.                                       | Due to an unforeseen increase in summer reported lighting faults, and in order to provide a minimum of service extra resource is urgently required  | £98,000.00  | £98,000.00  | Mega-Electrical             | Brian Smith    | Mark Smith      | Donna Long      |
| 19 | 31/07/2019 | Kitchen Upgrade at Birkenhead Town Hall   | Delivery Services           | Const, Maint and Facilities                | The removal of existing defunct kitchen equipment including extraction unit and renewal to bring up to current standards and legislation in order to improve the commercial aspects of the council   | C. A waiver of the rules would be in the interest of the Council, be lawful, and provide value for money.                                       | The forthcoming major event at Birkenhead Town Hall in September will require the full use and range of the kitchen. Unfortunately the kitchen does not conform to the current standards. Due to the secrecy of this event and the very short notice, in order to procure the works would mean the event would not be able to proceed as there will be a 6-8 week lead in time for materials and manufacture. | £53,240.00  | £53,240.00  | Windsors Catering Equipment | Neil Corser    | David Armstrong | Donna Long      |
| 20 | 02/08/2019 | CIPFA subscription 19-20  | Business Management         | Finance                                    | This contract is for corporate CIPFA membership and attendance at CIPFA courses. (See attached quotation for a full breakdown).  | A. Goods, services or execution of works are obtainable only from one source or contractor and there is no reasonably satisfactory alternative. | CIPFA, the Chartered Institute of Public Finance and Accountancy, is the only professional accountancy body exclusively dedicated to public finance.  | £30,738.00  | £30,738.00  | CIPFA                       | Shaer Halewood | Shaer Halewood  | Donna Long      |



|    |            |   |                       |                                    |  |   |   |             |             |                            |                 |                 |                 |
|----|------------|---|-----------------------|------------------------------------|--|---|---|-------------|-------------|----------------------------|-----------------|-----------------|-----------------|
| 21 | 02/08/2019 | Mitel Direct Routing protocols                        | Business Management   | ICT Management                     | Provide the required Mitel licensing, engineering and Project Management to deliver the infrastructure required to integrate the Mitel estate to Microsoft Teams via a high availability pair of SBCs. No professional services to configure Microsoft Teams as this will be done by Digital Staff/Microsoft. Migration of users from Mitel to Microsoft Teams will be the responsibility of Digital Staff.  | D. Where there is a legal requirement to contract with a particular supplier.   | 4Sight are Wirral Council incumbent maintainer of Wirral's Mitel estate.  | £55,510.66  | £55,510.66  | 4Sight Communications      | Peter Moulton   | Peter Moulton   | Keith Patterson |
| 22 | 02/08/2019 | Coroner Contract - Liverpool City Council             | Business Management   | Governance and Assurance - Coroner | Contract with Liverpool City Council for the provision of the Coroner service. This is a shared service with Liverpool City Council as determined by the Ministry of Justice from 2015/16 onwards  | D. Where there is a legal requirement to contract with a particular supplier.   | This service is to be provided by Liverpool City Council as part of a shared service agreement determined by the Ministry of Justice. Therefore there is no alternative supplier for this service   | £611,100.00 | £611,100.00 | Liverpool City Council     | Sarah Cox       | Phil McCourt    | Keith Patterson |
| 23 | 02/08/2019 | Halo Facilities Management                            | Delivery Services     | Strategic Asset Management         | To provide licensed security staff, bailing operator & cleaning staff, currently under review by HR & market manager.  | C. A waiver of the rules would be in the interest of the Council, be lawful, and provide value for money.                                       | To provide continued provision of essential staff to enable Birkenhead Market to continue trading.  | £52,000.00  | £52,000.00  | Halo Facilities Management | Jeannette Royle | David Armstrong | Donna Long      |
| 24 | 06/08/2019 | Royal Mail Postal Service                             | Business Management   | Business Support Unit Management   | Royal Mail provides a postage service - postage for letters incl. international, parcels, special delivery items. Collection of outbound mail  | C. A waiver of the rules would be in the interest of the Council, be lawful, and provide value for money.                                       | We have investigated other options available (Whistl) - they would not be able to provide full service & we would still be required to use Royal Mail for 1st class, Special & Recorded Mail (exclusive to Royal Mail) & parcels - causing problems in delivering of mail to residents, customers, partners and suppliers. We would likely have to pay a collection charge on top which currently we don't. | £100,000.00 | £100,000.00 | Royal Mail Postal Service  | Simon Cuerden   | Lisa Jamieson   | Keith Patterson |
| 25 | 06/08/2019 | i-Connect implementation for Pensions data collection | Business Management   | Merseyside Pension Fund            | Licence and implement the i-Connect module as part of the integrated Pensions Administration system. The module will provide a web-based portal for the collection and management of monthly data collections from the Fund's constituent employers (n=150+). The module provides secure transfer of the member data, performs a level of quality control and will automatically update the altair database. | A. Goods, services or execution of works are obtainable only from one source or contractor and there is no reasonably satisfactory alternative. | The i-Connect module is only available from Heywoods who are the current provider of the Fund's pensions administration software. The Fund is currently working with others on the creation of a National Framework for Pensions Administration Software which is scheduled for completion Q2 2020. This framework when in place in 2020 will give more procurement opportunities, including direct award.  | £120,000.00 | £120,000.00 | Aquila Heywood             | Guy Hayton      | Peter Wallach   | Donna Long      |
| 26 | 07/08/2019 | grandCARE Systems                                     | Adult Care and Health | Health and Care Outcomes           | 12 month pilot contract using lease provision to deploy innovative technology to provide support and care to people with dementia and learning disabilities.   | A. Goods, services or execution of works are obtainable only from one source or contractor and there is no reasonably satisfactory alternative. | Unique combination of technologies  | £112,673.64 | £112,673.64 | grandCARE Systems          | Jayne Marshall  | Jason Oxley     | Donna Long      |

|    |             |                                 |                       |                                    |   |   |  |             |             |                                 |               |                   |                 |
|----|-------------|---------------------------------|-----------------------|------------------------------------|---|---|--|-------------|-------------|---------------------------------|---------------|-------------------|-----------------|
| 27 | 43684.45061 | Prevention Pledge               | Adult Care and Health | Champs Public Health Collaborative | The NHS Prevention Pledge is a key deliverable for the CM HCP Prevention Board. Using a tested model implemented at local authority level for healthy weight, an NHS Prevention Pledge will underpin and support a coordinated approach for large NHS providers to drive C&M prevention priorities and will be a vehicle for the organisations to achieve related CQUINs. | A. Goods, services or execution of works are obtainable only from one source or contractor and there is no reasonably satisfactory alternative. | The funder (NHS Cheshire and Merseyside Health and Care Partnership) have specified the provider via their board (see attached minutes). The provider is the only one currently providing work of this kind.   | £52,000.00  | £52,000.00  | Health Equalities Group         | Adam Major    | Dawn Leicester    | Keith Sailes    |
| 28 | 43689.43883 | River retaining wall repairs    | Delivery              | Highways and Streetscene           | Work is to repair retaining structure at side of river, and improve the river bed   | C. A waiver of the rules would be in the interest of the Council, be lawful, and provide value for money.                                       | Work has already been undertaken by this company and has all the environmental permissions, prices have been benchmarked against the BAMN contract and have come in under and therefore provides VFM. Also the work can be done before the Autumn which is essential to ensure the integrity of the carriageway. | £70,000.00  | £70,000.00  | GAVIN BUILDING SERVICES INC LTD | Brian Smith   | Mark Smith        | Keith Patterson |
| 29 | 43689.46087 | Senior Performance Analyst      | Adult Care and Health | Care and Health                    | BCF scheme  | A. Goods, services or execution of works are obtainable only from one source or contractor and there is no reasonably satisfactory alternative. | Service provided   | £39,396.20  | £55,811.28  | NHS Wirral CCG                  | Jaqui Evans   | Graham Hodgkinson | Donna Long      |
| 30 | 43690.4519  | Bloomberg Professional Services | Business Management   | Merseyside Pension Fund            | Historic and Real-time financial data on every market, unparalleled news and research, powerful analytics, communications tools and world-class execution capabilities“in one fully integrated solution. Renewal of contract  | E. Contracts awarded to sole suppliers because of exclusive rights.   | Bloomberg Analytics is exclusive to Bloomberg  | £64,000.00  | £128,000.00 | BLOOMBERG UK LIMITED            | Peter Wallach | Peter Wallach     | Donna Long      |
| 31 | 43704.64509 | Tour of Britain Patching        | Delivery Services     | Highways and Streetscene           | See uploaded document: to provide carriageway patching as necessary in preparation for the Tour of Britain cycle event  | E. Contracts awarded to sole suppliers because of exclusive rights.   | Rhino Asphalt produce an alternative product in place of conventional patching materials that would be more suitable for these works (recess and Masterscreed materials)   | £50,000.00  | £50,000.00  | Rhino Asphalt Solutions Ltd     | Lian Challis  | Mark Smith        | Tony Birkett    |
| 32 | 43732.77698 | Planned Audit Fee for 2019/20   | Business Management   | Finance and Investment             | Audit fees for 2019/20  | D. Where there is a legal requirement to contract with a particular supplier.   | Specified by public sector audit appointments Ltd (PSAA). Fees set and published by PSAA at 31/03/19 following consultation process  | £123,095.00 | £123,095.00 | Grant Thornton                  | Sarah Cox     | Shaer Halewood    | Tony Birkett    |

| PRAD 2 - Extension |            |  |  |                       |                                |  |                |                        |                   |                      |                |             |  |
|--------------------|------------|--|--|-----------------------|--------------------------------|--|----------------|------------------------|-------------------|----------------------|----------------|-------------|--|
| Record             | Date       | Project Title  |  | Directorate           | Service Area                   | Project Description  | Annual Spend£k | Total contract spend £ | Supplier          | Departmental Contact | Signed by      | Approval By |  |
| 33                 | 05/04/2019 | Wirral Ways to Work Programme - Worklessness Support Service - Lot 1 |  | Delivery Services     | Growth, Regeneration and Place | Delivery of the Worklessness Support Service within Lot 1 of the Wirral Ways to Work Programme, part funded by European Social Fund (ESF). The service offers an intensive outreach, engagement and information, advice and guidance to unemployed or economically inactive residents. | £1,254,930.00  | £9,269,380.00          | Involve Northwest | Stephen Chapman      | Alan Evans     | Jenny Spick |  |
| 34                 | 13/05/2019 | Wirral Independence Service  |  | Adult Care and Health | WIS                            | Wirral Independence Service  | £3,980,000.00  | £23,540,000.00         | Medequip          | Tim Brookes          | Jacqui Evans   | Jenny Spick |  |
| 35                 | 29/05/2019 | Civil litigation   |  | Business Management   | Finance                        | Civil Litigation services in defence of liability claims submitted against Wirral Council  | £95,454.54     | £175,000.00            | Weightmans        | Mark Niblock         | Shaer Halewood | Jenny Spick |  |

|                   |            |  |                              |                             |  |  |                |                        |  |                      |                   |                |
|-------------------|------------|--|------------------------------|-----------------------------|--|--|----------------|------------------------|--|----------------------|-------------------|----------------|
| 36                | 29/05/2019 | Civil Litigation   |                              | Business Management         | Finance                                  | Civil Litigation services in defence of liability claims submitted against Wirral Council  | £95,454.54     | £175,000.00            | BLM  | Mark Niblock         | Shaer Halewood    | Jenny Spick    |
| 37                | 30/01/2019 | Insurance Broking and Advice   |                              | Business Management         | Risk and Insurance                       | Provision of insurance broking and advice.   | £5,000.00      | £21,000.00             | JLT Speciality Limited                           | Mike Lane            | Shaer Halewood    | Shaer Halewood |
| 38                | 07/02/2019 | Civil Litigation Defence Services  |                              | Business Management         | Risk and Insurance                       | The provision of legal services to support the defence of insured Liability claims   | £150,000.00    | £350,000.00            | 1) Weightmans LLP<br>2) BLM                      | Mike Lane            | Shaer Halewood    | Shaer Halewood |
| 39                | 18/07/2019 | Banking Services   |                              | Business Management         | Finance                                  | Covers a wide range of banking services for Wirral Council (including Merseyside Pension Fund). Includes drawings, receipts and various petty cash accounts. All significant financial activities including payroll, creditors and benefit payments and income receipts flow through these accounts. Original contract was for 5 years with 5 annual 12 month extensions available | £65,000.00     | £250,000.00            | Lloyds Bank                                      | Peter Molyneux       | Daniel Kirwan     | Shaer Halewood |
| 40                | 19/07/2019 | The Chest eSourcing Portal   |                              | Business Management         | Commercial Management                    | The Chest e Sourcing Portal Services   | £2,140.00      | £17,120.00             | Proactis Ltd                                     | Keith Patterson      | Nicki Butterworth | Peter Molyneux |
| Sub Total         |            |  |                              |                             |  |  | £5,647,979.08  | £33,797,500.00         |  |                      |                   |                |
| PRAD 3 -Variation |            |  |                              |                             |  |  |                |                        |  |                      |                   |                |
| Record            | Date       | Project Title  |                              | Directorate                 | Service Area                             | Project Description  | Annual Spend£k | Total contract spend £ | Supplier   | Departmental Contact | Signed by         | Approval By    |
| 41                | 09/04/2019 | Agriculture Land Study and ALC   |                              | Economic and Housing Growth | Forward Planning                         | As part of the development of Wirral's Local Plan, the Council wish to undertake a review of the agricultural economy and land quality in the Wirral. The purpose of the proposed study is to review the economic impact of farming to the Wirral and the classification of land quality in the borough to inform the Council's planning policy and land-use decisions.            | £13,400.00     | £134,000.00            | RSK ADAS Ltd                                     | Andrew Fraser        | David Ball        | Peter Molyneux |
| 42                | 13/06/2019 | GVA Commercial advice and assurance for Wirral Growth Company                                  |                              | Economic and Housing Growth | Major Growth and Housing Delivery        | GVA Commercial advice and assurance for Wirral Growth Company  | £500,000.00    | £1,000,000.00          | GVA  | David Ball           | David Armstrong   | Daniel Kirwan  |
| 43                | 18/06/2019 | Tour of Britain  |                              | Business Management         | Business Change and Programme Management | Contract to provide cycling event(s)   | £250,000.00    | £250,000.00            | Sweetspot  | Tim Games            | Nikki Boardman    | Shaer Halewood |
| 44                | 18/06/2019 | Tomorrows Women Wirral   |                              | Children's Services         | Mash                                     | TWW is a project designed to benefit all women aged 18+ in the community and is committed to reducing female imprisonment, offending, and to provide assistance to those women who want to make positive lifestyle changes. TWW offers space in a safe women only environment so partners/agencies can work with them.   | £25,000.00     | £250,000.00            | Tomorrows Women Wirral                           | Ian shaw             | Julie Webster     | Shaer Halewood |
| 45                | 19/08/2019 | LOCAL PLAN AND COMMUNITY INFRASTRUCTURE LEVY ECONOMIC VIABILITY STUDY NWCE92 UKSK (NWCE8ACPK3) |                              | Economic and Housing Growth | Forward Planning                         | Assess the viability of the scale and location of development and policies proposed in the Local Plan, in consultation with relevant stakeholders and assess the more detailed viability of development to inform a draft charging schedule of potential CIL charges for Wirral to generate additional income to the Council, to meet national policy requirements.                | £11,796.67     | £35,390.00             | KEPPIE MASSIE SURVEYORS AND PROPERTY CONSULTANTS | Andrew Fraser        | David Ball        | Peter Molyneux |
| 46                | 27/09/2019 | Integrated Highway Asset Management Software System  |                              | Delivery Services           | Highway Development                      | Procurement of an Integrated Highway Asset Management Software System  | £165,245.89    | £840,000.00            | Symology Ltd.                                    | Shaun Brady          | Mark Smith        | Peter Molyneux |
| Sub Total         |            |  |                              |                             |  |  | £965,442.56    | £2,509,390.00          |  |                      |                   |                |
| PRAD 4 - Award    |            |  |                              |                             |  |  |                |                        |  |                      |                   |                |
| Record            | Date       | Project Title  | Single or Renewable Contract | Directorate                 | Service Area                             | Project Description  | Annual Spend£k | Total contract spend £ | Supplier   | Departmental Contact | Signed by         | Approval By    |
| 47                | 10/04/2019 | LED Luminaire Equipment Phase 2  | Single                       | Delivery Services           | Highway Development                      | Supply of LED luminaires   | £1,170,000.00  | £1,170,000.00          | ASD Lighting PLC                                 | Shaun Brady          | N/A               | N/A            |

|    |            |   |           |                       |                              |   |                |                 |  |                 |     |     |
|----|------------|---|-----------|-----------------------|------------------------------|---|----------------|-----------------|--|-----------------|-----|-----|
| 48 | 01/05/2019 | Care & Support at Home  | Renewable | Adult Care and Health | Commissioning Community Care | Care & Support at Home - Domiciliary Care Services Re-Ablement, Dom Care, CHC & End of Life   | £12,500,000.00 | £125,000,000.00 | Allied Health-Services Limited trading as Allied Healthcare - Company No 11696513, H White Ltd t/a Apollo Care (West Wirral)- Company No 8639466, Brookfield Care Agency Limited - Company No 2933526, Nautilus Care - Company No 218742, T L Care (nw) Limited - Company No 6325199 | Donna Locke     | N/A | N/A |
| 49 | 09/05/2019 | Care & Support at Home  | Renewable | Adult Care and Health | Commissioning Community Care | Care & Support at Home - Domiciliary Care Services Re-Ablement, Dom Care, CHC & End of Life   | £12,500,000.00 | £125,000,000.00 | Homecare4u 07455354, Homecarers Liverpool 3729978, Nightingales UK 05153902, The Human Support Group 3513906   | Donna Locke     | N/A | N/A |
| 50 | 21/06/2019 | Provision of Services to Complete the Design, Supply and Install Replacement Aids to Navigation, New Brighton | Renewable | Delivery Services     | Highway Development          | Removal and subsequent design, supply and replacement of 3no Aids to Navigation markers on the foreshore of the River Mersey, New Brighton, Wirral  | £679,828.37    | £679,828.37     | Southbay Civil Engineering Limited   | Neil Thomas     | N/A | N/A |
| 51 | 28/06/2019 | New ATP and associated facilities - Leasowe Leisure Centre  | Single    | Delivery Services     | Leisure                      | Provide new 3G Artificial Grass Pitch, Car Park extension and new changing room facilities at Leasowe Leisure Centre.   | £1,390,258.00  | £1,390,258.00   | Barnhards Sports Surfaces  | Damian Walsh    | N/A | N/A |
| 52 | 15/07/2019 | Worklessness Support Service  | Renewable |                       |                              | The Worklessness Support Service is designed to engage eligible workless young people and adults predominantly within the Councilâ€™s catchment area, and support their progression into education, employment and training. This service forms part of the wider Wirral Ways to Work programme. The Worklessness Support Service is to be funded from Priority Axis 1 of the European Social Fund (ESF). | £513,272.72    | £941,000.00     | Involve Northwest  | Stephen Chapman | N/A | N/A |
| 53 | 13/08/2019 | KRN A41 Corridor Improvement Works  | Single    |                       |                              | Major highway maintenance work, including deliverable metrics comprising of@9.4Km newly-resurfaced road; 198 new lighting columns; 4.3Km safety fencing and 7Km cabling.  | £2,620,864.47  | £2,620,864.47   | AE Yates Ltd   | Simon Fox       | N/A | N/A |
| 54 | 21/08/2019 | Wirral Street Lighting LED Phase 2 Works  | Single    |                       |                              | Replacement of 9,200 street lighting columns and up to 28,000 lanterns with LED units plus all associated cabling and other works as required.  | £4,645,448.10  | £9,290,896.23   | SSE CONTRACTING LIMITED  | Mark Smith      | N/A | N/A |
| 55 | 06/09/2019 | PPE and Corporate Workwear  | Renewable |                       |                              | Personal Protective Equipment and Workwear  | £800,000.00    | £800,000.00     | Wray Bros  | Keith Patterson | N/A | N/A |
| 56 | 25/09/2019 | Community Connector Service   | Renewable |                       |                              | The service will establish a network of community connectors within Wirral communities to tackle the issue of social isolation and promote active inclusion to support resident mental health and wellbeing.  | £239,369.65    | £718,109.00     | Involve Northwest  | Julie Webster   | N/A | N/A |
| 57 | 25/09/2019 | Community Substance Misuse Services   | Renewable |                       |                              | This contract will provide a quality service that is effective and is able to support real and sustainable positive change to local residents affected by substance misuse. The service will take a person-centred, holistic approach, working to address the physical and mental health needs of service users and the social and psychological issues associated with the misuse of substances.         | £5,789,250.00  | £28,946,250.00  | Change Grow Live Services Ltd  | Julie Webster   | N/A | N/A |
| 58 | 26/09/2019 | The Healthy Child Programme (Core Programme) for 0-19 Year Olds for Wirral                                    | Renewable |                       |                              | The 0-19 Service is an integrated programme, combining the functions of Health Visiting (0-5 years) and School Nursing (5-19 years). The service is an evidence-based universal and targeted programme that aims to ensure that every child gets the good start that they need to lay the foundations of a healthy life.  | £5,691,695.00  | £28,458,475.00  | Wirral Community Health and Care NHS Foundation Trust  | Julie Webster   | N/A | N/A |