



Audit and Risk Management Committee
Monday, 26 September 2016

REPORT TITLE:	CORPORATE RISK REGISTER: Q1 2016/17 UPDATE
REPORT OF:	Chief Executive

REPORT SUMMARY

This report provides an update on the implementation of key additional actions to control the corporate risks. It also highlights emerging risk topics. Arrangements for the development of risk registers for Pledge Strategies, new Delivery Units and the revised Transformation Programme are also summarised.

RECOMMENDATION/S

1. That Members consider the report on progress in managing the corporate risks.
2. That further reports on the Corporate Risk Register be brought to future meetings of this Committee.

SUPPORTING INFORMATION

1.0 REASON/S FOR RECOMMENDATION/S

- 1.1 Having an understanding of the Council's principal risks and their controls supports the Committee's responsibility in relation to the adequacy of the Council's risk management framework.
- 1.2 The provision of regular reports to this Committee on the Corporate Risk Register is a requirement of the Council's Corporate Risk Management Policy.

2.0 OTHER OPTIONS CONSIDERED

- 2.1 None.

3.0 BACKGROUND INFORMATION

- 3.1 Following adoption of the Wirral Plan the Strategic Leadership Team conducted an exercise in late 2015/16 to identify the most significant risks to its delivery. This resulted in the production of a revised Corporate Risk Register which was reported to this committee on 14 June 2016.
- 3.2 In accordance with the Corporate Risk Management Policy a review of the Register is conducted by the Strategic Leadership Team at the end of each quarter.
- 3.3 The 2016/17 quarter 1 review was undertaken on 16 August. To support that review information on progress with key mitigating actions was collated. A copy of this is shown in the appendix.
- 3.4 All mitigating actions appear to be progressing as planned with the exception of:
 - The development of extra care housing (Risk 5: Integration of Health and Social Care)
 - The sale of surplus assets (Risk 10: Resources and Infrastructure)
- 3.5 Progress was noted by the Team. No changes to the scores for any of the existing corporate risks were considered necessary at this time.

EMERGING RISK AREAS

- 3.6 Since the new corporate risk register was finalised by the Team on 31 May there have been some significant changes both within the Council and in the wider environment that could create uncertainty for delivery of the Council's objectives.
 - 3.6.1 On 23 June the UK voted to leave the European Union. The uncertainty created by this decision the formation of a new government and how it may respond to the vote has the potential to create additional challenges to

delivery of the Wirral Plan in the longer term. As regards the existing corporate risks the following could be particularly affected:

Financial Resilience (risk 1)
Devolution (risk 4)
Economic Activity (risk 9)

3.6.2 In July Ofsted undertook an investigation of the Council's Children's Services. The outcome of that investigation is expected in the near future and will require a response from the Council. It could have implications for the following existing corporate risks:

Financial Resilience (risk 1)
Safeguarding (risk 7)

3.6.3 The introduction of the new operating model will take the Council forward and is key to the delivery of its strategies. There will be a period of transition whilst it is being introduced.

FUTURE DEVELOPMENTS

3.7 Responsibilities for individual corporate risks and for mitigating actions will be updated to take account of the new operating model.

3.8 To ensure that the Council and the wider Wirral Partnership develops a comprehensive understanding of the risks to delivery of the Wirral Plan three further sets of risk registers will be developed over the coming months:

- Individual Wirral Plan pledge strategies
- Plans for the new Business Services function and Delivery Units
- Programmes within the revised Transformation Programme

3.9 There has been consultation with the Policy, Performance & Scrutiny Team and the Change Team over arrangements for the development of the registers and guidance and support will be available to those responsible for producing them.

3.10 Analysis of critical risks affecting these areas will inform future reports to the Strategic Leadership Team and to this committee.

4.0 FINANCIAL IMPLICATIONS

There are no direct implications arising from this report.

5.0 LEGAL IMPLICATIONS

There are no direct implications arising from this report

6.0 RESOURCE IMPLICATIONS: ICT, STAFFING AND ASSETS

There are no direct implications arising from this report.

7.0 RELEVANT RISKS

Potential emerging risk areas are indicated in paragraph 3.6

8.0 ENGAGEMENT/CONSULTATION

As indicated in paragraph 3.9 there has been consultation with the Policy, Performance & Scrutiny and Change teams in relation to the development of further risk registers.

9.0 EQUALITY IMPLICATIONS

There are none arising directly from this report.

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APPENDICES

2016/17 CRR Controls 300616

REFERENCE MATERIAL

N/A

SUBJECT HISTORY (last 3 years)

Council Meeting	Date
Audit & Risk Management Committee	13th June 2016
Audit & Risk Management Committee	17th February 2016
Audit & Risk Management Committee	24th November 2016
Audit & Risk Management Committee	22nd September 2015