

**Audit and Risk Management Committee**  
**Tuesday 14<sup>th</sup> March 2017**

<b>REPORT TITLE</b>	<b>CORPORATE RISK REGISTER: UPDATE</b>
<b>REPORT OF</b>	<b>CHIEF EXECUTIVE</b>

**REPORT SUMMARY**

This report confirms the outcome of the most recent quarterly review of the Corporate Risk register. Progress towards the development of risk registers for Pledge Strategies, new Delivery Units and the revised Transformation Programme are also summarised. A summary of the status of key mitigating actions for the existing Corporate Risks at the end of quarter three 2016/17 is appended to the report.

**RECOMMENDATION/S**

1. That Members consider the report on progress in managing the corporate risks.
2. That further reports on the Corporate Risk Register be brought to future meetings of this Committee.

## **SUPPORTING INFORMATION**

### **1.0 REASON/S FOR RECOMMENDATION/S**

- 1.1 Having an understanding of the Council's principal risks and their controls supports the Committee's responsibility in relation to the adequacy of the Council's risk management framework.
- 1.2 The provision of regular reports to this Committee on the Corporate Risk Register is a requirement of the Council's Corporate Risk Management Policy.

### **2.0 OTHER OPTIONS CONSIDERED**

- 2.1 None

### **3.0 BACKGROUND INFORMATION**

- 3.1 Following adoption of the Wirral Plan in 2015 the Strategic Leadership Team (SLT) revised the Council's Corporate Risk Register. This was reported to this committee on 14 June 2016.
- 3.2 A review is undertaken at the end of each quarter by the SLT. This addresses progress in relation to the management of the existing corporate risks as well as emerging risk areas for possible addition to the register.

#### **REVIEW QUARTER THREE 2016/17**

- 3.3 To support the review status updates on all key mitigating actions at the end of Quarter 3 had been obtained from officers across the Council. That information is summarised within the appendix to this report.
- 3.4 The information indicated that all mitigating actions appeared to be progressing as planned with the exception of:
  - The development of extra care housing (Risk 5: Integration of Health and Social Care)
  - Implementation of the Wirral Waters Investment Fund (Risk 9: Economic Activity)
  - It was also noted that considerable progress had been made regards recruitment to the Transformation Office (Risk 2: Organisation Development and Pace of Transformational Change). However some uncertainty remains in relation to capacity within support services.

The team took the view that the degree of progress made as at the end of Quarter 3 did not warrant changes to the scores for any of the existing corporate risks.

- 3.5 SLT also agreed changes to the Lead Responsibility for each risk to take account of the new operating model.

3.6 The Team then considered the following risk topics relating to recent and ongoing changes both within the Council and in the wider environment:

3.6.1 The capacity and resilience of voluntary sector agencies within the Wirral Partnership.

3.6.2 The level of funding for adults and children's social care.

3.6.3 As regards 3.6.1 the Team recognised that this was an aspect of an existing corporate risk (Risk 3: Partnerships). The new 'Working Together' strategy which is to be put before the Wirral Partnership shortly will further mitigate this risk. It was also noted that voluntary sector agencies are already working in a more co-ordinated manner to make better use of their resources.

In relation to 3.6.2 the Team recognised that this subject was already reflected in the register having been taken into account in the 'Financial Resilience and Demographic Changes' risks. There remains considerable concern about this topic at a national level. However the Council is making additional resources available in 2017/18 and major programmes are being progressed to address demand and to work more effectively with health partners.

3.6.4 The impact of the vote to leave the European Union was again considered by SLT having been raised by this committee at its meeting on 30 January. The view was that this was much less of a known risk and that the Council's ability to mitigate it was limited. However the position is continually monitored to identify potential adverse impacts and consider responses. This task is informed by quarterly briefings from the Strategy Team which are provided to Cabinet and to SLT.

## **DEVELOPMENT OF OTHER RISK REGISTERS**

3.7 The Risk and Insurance Manager has facilitated a number of risk workshops for Wirral Plan Pledge Strategy Steering Groups and some have developed baseline risk registers. The review of action plans for Wirral Plan has meant that for some groups work on developing risk registers has been deferred.

3.8 The Risk and Insurance Manager has also addressed the management teams for all functions within the new Operating Model to confirm the corporate requirements as regards understanding and documenting their key risks. Sessions to identify key risks for the Delivery and Business Services functions have been held.

3.9 Risk identification sessions have also been held with the teams responsible for the Assets and Customer Experience components of the revised Transformation Programme. Baseline risk registers are in the process of being produced.

## **4.0 FINANCIAL IMPLICATIONS**

4.1 There are no direct implications arising from this report.

## **5.0 LEGAL IMPLICATIONS**

5.1 There are no direct implications arising from this report

## **6.0 RESOURCE IMPLICATIONS: ICT, STAFFING AND ASSETS**

6.1 There are no direct implications arising from this report.

## **7.0 RELEVANT RISKS**

7.1 There are no risks arising directly from this report.

## **8.0 ENGAGEMENT/CONSULTATION**

8.1 Arrangements for the production of risk registers for Pledge Strategies, the Transformation Programme and business plans were developed in consultation with the Policy, Performance & Scrutiny and Change teams.

## **9.0 EQUALITY IMPLICATIONS**

9.1 There are none arising directly from this report.

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## **APPENDIX**

**2016/17 CRR Controls 311216**

## **REFERENCE MATERIAL**

N/A

## **SUBJECT HISTORY (last 3 years)**

<b>Meeting</b>	<b>Date</b>
<b>Audit &amp; Risk Management Committee</b>	<b>30<sup>th</sup> January 2017</b>
<b>Audit &amp; Risk Management Committee</b>	<b>22<sup>nd</sup> November 2016</b>
<b>Audit &amp; Risk Management Committee</b>	<b>26<sup>th</sup> September 2016</b>
<b>Audit &amp; Risk Management Committee</b>	<b>13<sup>th</sup> June 2016</b>