

**AUDIT AND RISK MANAGEMENT COMMITTEE  
MONDAY 12 JUNE 2017**

<b>REPORT TITLE</b>	<b>CORPORATE RISK REGISTER: UPDATE</b>
<b>REPORT OF</b>	<b>CHIEF EXECUTIVE</b>

**REPORT SUMMARY**

This report confirms progress in the implementation of key mitigating actions for the existing Corporate Risks during quarter four 2016/17. A summary of the status of those actions is appended to the report.

**RECOMMENDATIONS**

That Committee consider the report on progress in managing the corporate risks.

That further reports on the Corporate Risk Register be brought to future meetings of this Committee.

## **SUPPORTING INFORMATION**

### **1.0 REASONS FOR RECOMMENDATIONS**

- 1.1 Having an understanding of the Council's principal risks and their controls supports the Committee's responsibility in relation to the adequacy of the Council's risk management framework.
- 1.2 The provision of regular reports to this Committee on the Corporate Risk Register is a requirement of the Council's Corporate Risk Management Policy.

### **2.0 OTHER OPTIONS CONSIDERED**

- 2.1 None.

### **3.0 BACKGROUND INFORMATION**

- 3.1 Following adoption of the Wirral Plan in 2015 the Strategic Leadership Team (SLT) revised the Council's Corporate Risk Register. This was reported to this Committee on 14 June 2016.
- 3.2 A review is undertaken at the end of each quarter by SLT. This addresses progress in relation to the management of the existing corporate risks as well as emerging risk areas for possible addition to the Register.

#### **REVIEW QUARTER FOUR 2016/17**

- 3.3 The quarter four review is scheduled to be undertaken by the Strategic Leadership Team this month.
- 3.4 To support the review status updates on all key mitigating actions at the end of Quarter 4 have been obtained from officers across the Council. That information is summarised within the Appendix to this report
- 3.5 The information indicates that all mitigating actions appear to be progressing as planned with the exception of:
  - Development of Extra Care Housing (Risk 5: Integration of Health and Social Care)
  - Review of the Members' Code of Conduct (Risk 8: Governance)
  - Implementation of the new Asset Strategy (Risk 10: Resources and Infrastructure).
- 3.6 The Appendix shows the position at 31 March 2017. The position relating to the Safeguarding risk will form part of the Quarter 4 review as there have been a number of recent changes which have to be considered by the Improvement Board.

#### **DEVELOPMENT OF OTHER RISK REGISTERS**

- 3.7 Work on developing the Registers follows the review of the Wirral Plan Action Plans and this is progressing.
- 3.8 Work continues on reviewing and enhancing the Registers for the elements within the Council Operating Model.

#### **4.0 FINANCIAL IMPLICATIONS**

4.1 There are no direct implications arising from this report.

#### **5.0 LEGAL IMPLICATIONS**

5.1 There are no direct implications arising from this report

#### **6.0 RESOURCE IMPLICATIONS: ICT, STAFFING AND ASSETS**

6.1 There are no direct implications arising from this report.

#### **7.0 RELEVANT RISKS**

7.1 There are no risks arising directly from this report.

#### **8.0 ENGAGEMENT/CONSULTATION**

8.1 Arrangements for the production of risk registers for Pledge Strategies, the Transformation Programme and business plans were developed in consultation with the Policy, Performance & Scrutiny and Change teams.

#### **9.0 EQUALITY IMPLICATIONS**

9.1 There are none arising directly from this report.

**REPORT AUTHOR:** **Mike Lane**  
Manager: Risk and Insurance  
telephone: (0151) 666 3413  
email: [mikelane@wirral.gov.uk](mailto:mikelane@wirral.gov.uk)

#### **APPENDIX**

2016/17 CRR Controls 310317

#### **SUBJECT HISTORY (last 3 years)**

<b>Meeting</b>	<b>Date</b>
Audit & Risk Management Committee	14 <sup>th</sup> March 2017
Audit & Risk Management Committee	30 <sup>th</sup> January 2017
Audit & Risk Management Committee	22 <sup>nd</sup> November 2016
Audit & Risk Management Committee	26 <sup>th</sup> September 2016