

Corporate Risk Register 28/01/2019

(including draft scores)

Portfolio / Priority	Risk Ref.	Risk Description	Unmanaged Scores			Risk Owner	Existing Mitigation	Owner	Current Scores			Planned Additional Mitigation	Owner	Target Date	Target Scores			Current Risk Status (▲ ▼ ◀ ▶)			
			Likelihood	Impact	Total (LxI)				Likelihood	Impact	Total (LxI)				Likelihood	Impact	Total (LxI)				
Finance and Resources	1	Financial Resilience	5	5	25	Paul Satoor - Corporate Director for Business Management	Refreshed Medium Term Financial Plan	Shaer Halewood - Director of Finance & Investment	3	5	15	Consideration of the most appropriate delivery models	Tim Games	ongoing programme			0				
		New budget setting process					Establishment of a Commercial Strategy					Nicki Butterworth							Nov-18		
2018/19 Priority Strategic Long Term Financial Plan for Wirral		Failure to effectively manage changes to the way that the Council is funded could lead to poor decisions on service reductions, unplanned efficiencies and in year overspends, resulting in the Council being unable to deliver priority services to vulnerable communities					Robust and rigorous monitoring of budgets and savings plans in year					An MTFS based on investment and income generation - Wirral Together							Daniel Kirwan - AD - Finance	Feb-19	
		Scrutiny and challenge to ensure future business cases for savings proposals are robust and deliverable					Delivery of Investment Strategy					Community Wealth Building programme to keep wealth in the Borough							Shaer Halewood	Jan-19	
												Delivery of business growth plans through Wirral Chamber of Commerce							Alan Evans		
												Refreshed 'Use of Reserves' policy to reduce reliance on reserves through a phased approach							Shaer Halewood	Feb-19	
Adult Care and Health	2	Demand Management	5	4	20	Graham Hodgkinson - Director for (Adult) Care & Health	Better Care Fund investment in re-ablement services	Graham Hodgkinson	4	4	16	Introduction of Capped Expenditure Programme by the Wirral Health & Care Commission	Graham Hodgkinson	2019/20			0				
2018/19 Priority Improve Health & Wellbeing by Joining Up Services for Residents		Failure to improve the quality of health and care services through integrated commissioning and delivery arrangements, could lead to demand continuing to increase, leaving the Council exposed to increased financial pressures in relation to meeting social care assessed need					Services partly integrated with partners with a section 75 agreement for most services					Implementation of the Wirral Together Strategy							Rachel Musgrave - Consultant in Public Health	2019/20	
							Two key contracts with social care providers have built in demand management					Evenual full pooling of funds under a section 75 agreement for all services									
												Implementation of Healthy Wirral Strategy								from April 2018	
Jobs and Growth	3	Exposure to Economic and Market Risk	3	5	15	Paul Satoor - Corporate Director for Business Management	Robust financial modelling on business cases	Shaer Halewood	2	5	10	Ensure clarity over the Council approach and appetite to risk management.	Shaer Halewood				0				
2018/19 Priority Strategic Long Term Financial Plan for Wirral		The aggregate level of risk presented by multiple regeneration projects is not recognised, leaving the Council over-exposed in the event of a downturn in the economy and/or markets					Long-term strategic master plan that phases the Council's approach to economic growth					Alan Evans - AD Regeneration & Inward Investment							Introduce a greater degree of resilience to economic and market changes	Daniel Kirwan	
							Monitoring the national and local economic climate to ensure that up/downturns can be forecast and planned for.					Nancy Clarkson - Head of Intelligence							Improve horizon scanning (review of current/forecast economic data)	Nancy Clarkson	
																			Collaborative approach with LCR over the use of SIF funding to mitigate against full council risk on economic growth projects	Alan Evans	
Leader	4	Employee Engagement & Capacity for Change	4	4	16	Paul Satoor - Corporate Director for Business Management	People Strategy & OD plan (<i>attracting and retaining talent leadership, values behaviours and performance, embracing change, workplace wellbeing</i>)	Nikki Boardman - Director of Change & Organisation Design	4	4	16	Behavioural framework and Talent Management Programme	Samantha Jones - OD Manager	Mar-19			0				
2018/19 Priority Local Plan		There is a risk that insufficient capacity or expertise, cultural resistance from staff or ineffective engagement with partners means that the ambition of the Wirral Plan is not realised, perpetuating inefficiencies and preventing required outcomes from being achieved					Health and wellbeing strategy					Ensure clarity over the skills that are needed for the future							Nikki Boardman	Dec-19	
							Staff engagement sessions					Further improve managers' ability to address under-performance							Nikki Boardman	Ongoing programme	
Housing and Planning	5	Local Plan	4	5	20	Paul Satoor - Corporate Director for Business Management	Programme management approach to Local Plan implementation	Tim Games - Head of Bus Change & Prog Management	4	5	20	Conduct green belt review	David Ball				0				
2018/19 Priority Local Plan		A failure to ensure the Council's arrangements for the consultation, publication and implementation of the Local Plan could result in intervention, a loss of control over future development and missed opportunities to promote economic growth.					Council has an agreed timeline for production of the Local Plan					David Ball - AD Major Growth Projects & Hsg Delivery							Consultation process for the Local Plan	David Ball	From Sep 2018
							Recruitment of additional capacity to Forward Planning Team					Administrative support provided to the Forward Planning Team to assist with Local Plan							David Ball		
							LGA support														
							Weekly 'touch base' meetings														
							Engagement with land owners and developers														

